



RIC 2001

Significance Determination and Enforcement Issues – Session W8

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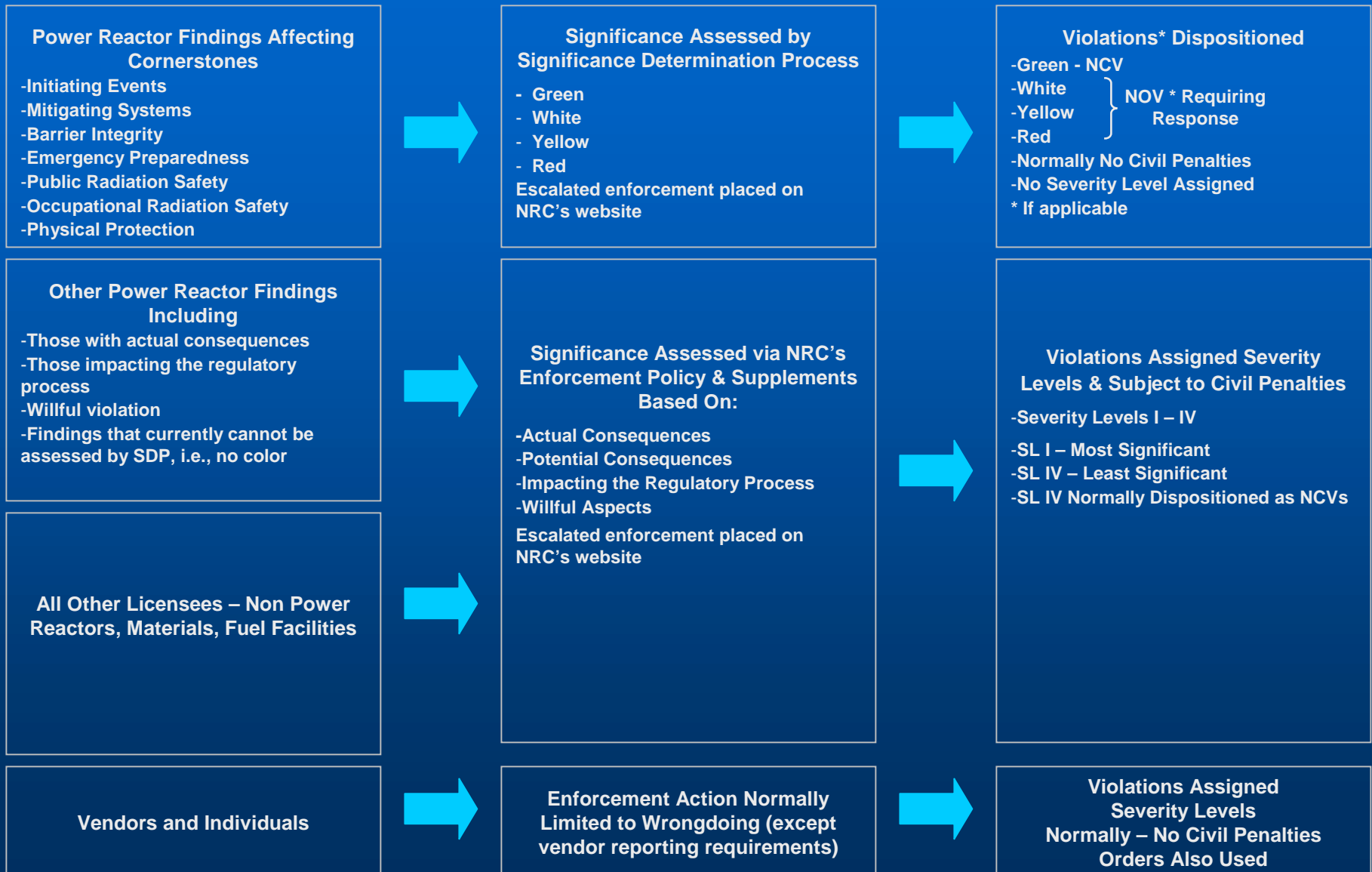
Office of Nuclear Reactor Regulation

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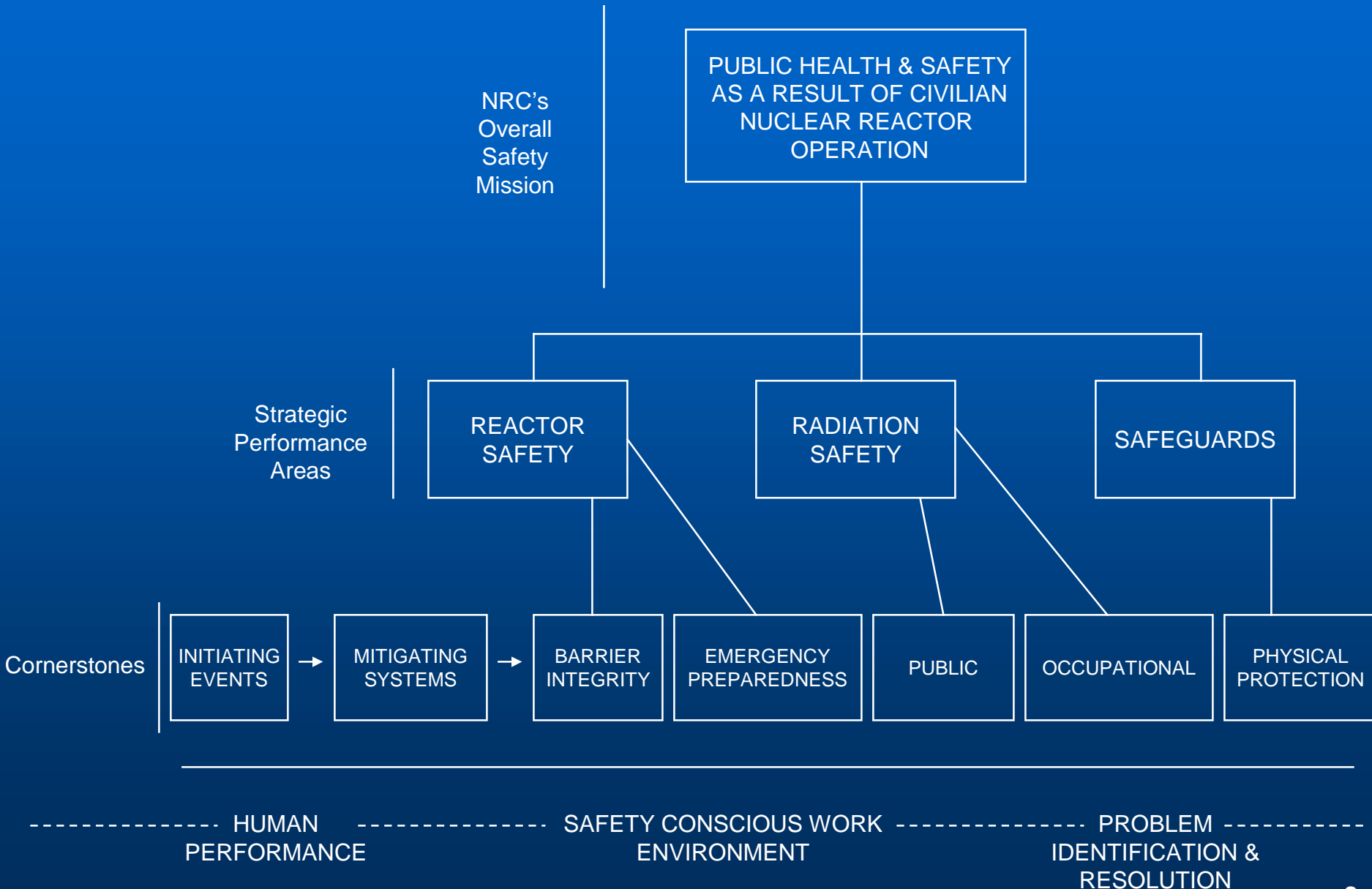
March 14, 2001



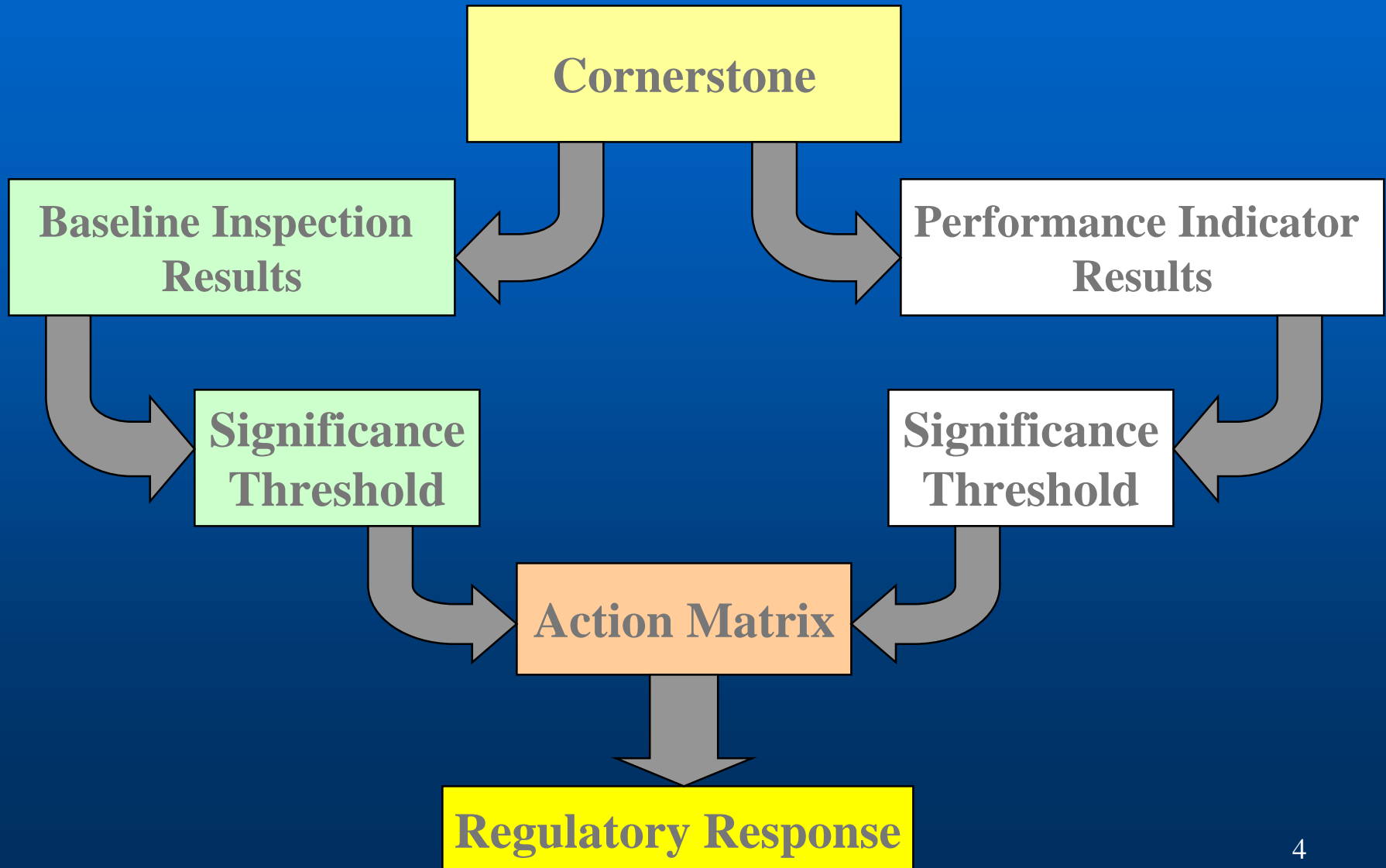
Significance Determination & Enforcement Overview



Regulatory Framework



Revised Reactor Oversight Process



Current Significance Determination Process Issues

- Timeliness
- Appropriateness of assigning significance color to those issues dispositioned outside SDP
- How non-SDP findings should be incorporated into assessment process
- Public availability of inputs to risk analyses
- Physical security significance determination process
- Occupational exposure significance determination process

Summary of Changes from Reactor Oversight Process/Enforcement Policy Changes

- SDPs used to evaluate significance
 - Prompts greater NRC oversight where needed
- Type of enforcement action generally driven by SDP outcome
 - Non-cited violations for Green findings
 - Cited violations with no civil penalty* for White/Yellow/Red
- Action matrix determines NRC response

*Note: Commission reserves discretion for particularly significant violations to assess civil penalties

Violations Not Covered by SDP

- Severity levels assigned and civil penalties may be used
- Violations involving willfulness and discrimination
- Violations which impact ability for oversight (50.9, 50.72, 50.73)
- Actual consequences -- exposures, releases, reporting during EP and transportation events